



K21U 0171

Reg. No. :

Name :

VI Semester B.B.A. Degree (CBCSS – Reg./Supple./Improve)
Examination, April 2021
(2014 – 2018 Admissions)
Core Course

6B17BBA : CAPITAL MARKET AND INVESTMENT MANAGEMENT

Time : 3 Hours

Max. Marks : 40

SECTION – A

Answer **all** questions. **Each** question carries $\frac{1}{2}$ mark.

1. National Stock Exchange was incorporated in the year _____
2. EIC Analysis stands for _____
3. _____ candlestick is used to represent a situation where the closing price of the day is higher than the opening price.
4. _____ is the variability of returns in investments. ($\frac{1}{2} \times 4 = 2$)

SECTION – B

Answer **any 4** questions. **Each** question carries **1** mark.

5. Define mutual fund.
6. What is Speculation ?
7. What is Technical Analysis ?
8. What is FPO ?

P.T.O.



9. What do you mean by Depository ?
10. What is Efficient Market Theory ?
11. What is RSI ?
12. What do you mean by Listing ?

(1×4=4)

SECTION – C

Answer **any 6** questions. **Each** question carries **3** marks.

13. Differentiate between Primary market and Secondary market.
14. Explain the different stages of Industry Life Cycle.
15. Explain the different principles and hypothesis of Dow Theory.
16. Distinguish between Investment and Speculation.
17. Briefly describe the techniques of Economic Forecasting.
18. Describe the formation of Bullish Trend and Bearish Trend in the market.
19. State and explain the objectives of investment.
20. Explain the role of depositories in security trading.
21. Explain the different forms of efficient market hypothesis.
22. What are the merits and demerits of technical analysis ?
23. What are the characteristics of investment ?
24. Distinguish between Public Issue and Right Issue.

(3×6=18)



SECTION – D

Answer **any 2** questions. **Each** question carries **8** marks.

25. What is investment ? Describe the important investment avenues available to savers in India.
26. What is SEBI ? What are the functions of SEBI ?
27. What do you mean by price charts ? Describe the different types of price charts used by technical analysts.
28. What is a Stock Exchange ? Describe the functions of Stock Exchanges.
29. What do you mean by EIC framework ? Explain the economy, industry and company wide factors affecting the performance of companies.
30. What do you mean by NIM ? What are the methods of floating new issues ?

(8×2=16)