



K18P 0382

Reg. No. :

Name :

Fourth Semester M.Com. Degree (Regular/Supple./Improve.)

Examination, March 2018

(2014 Admn. Onwards)

Elective – A : Finance

COM 4E03 : FINANCIAL MARKETS AND SERVICES

Time : 3 Hours

Max. Marks : 60

SECTION – A

Answer **any four** questions in this Section. **Each** question carries **1** mark for Part (A), **3** marks for Part (B) and **5** marks for Part (C).

1. A) What is a Treasury bill ?
B) What are the major functions of Money Market ?
C) Explain the recent trends in capital market.
2. A) What are Swaps ?
B) Distinguish Forward and Future markets.
C) What is the risk associated with trading in Derivatives market ?
3. A) Give any 2 promotional activities of development banks.
B) What are the main functions of IDBI ?
C) Discuss the role of Development Banks.
4. A) Define Venture Capital Finance.
B) What are NBFCs ? Point out the main difference between NBFCs and Banking financial institutes.
C) What do you understand by finance ? What are the major sources of finance ?

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5. A) Define the term "Fair Market Value".
B) What are the features of Merchant Banking ?
C) Why does an investor prefer to invest in Mutual Funds ?
6. A) Name the different forms of Factoring.
B) List out some of the Merits of Corporate Credit Cards.
C) Explain the important credit rating agencies in India.

(4×9=36)

SECTION – B

Answer the following questions. **Each** carries **12** marks.

7. A) What are the SEBI guidelines for Mutual Fund Industry in India ?

OR

- B) Explain the legal aspects governing Factoring Service in India.

8. A) Explain in detail the concept "Derivatives and its prospects in near future".

OR

- B) What is the major role played by Merchant Bankers in fund raising ?

(2×12=24)